

# DATA FOR PLANS AFTER IMPLEMENTATION OF QPA'S PHS

Plan #	Industry	Risk Assessment	Gap Analysis	Transition Date	Participation Rate Prior to Transition	Participation Rate After Transition	% Increase / Decrease	Avg Deferral Rate of Actively Contributing EE Prior to Transition	Avg Deferral Rate of Actively Contributing EE After Transition	Actual Deferral Amount Difference	Increase / Decrease	Avg # of Holdings for all Ppts (Active & Terminated) Prior to Transition	Avg # of Holdings for all Ppts (Active & Terminated) After Transition	Actual Diversification Difference	Increase / Decrease
1	Manufacturing	•	•	1/11/2013	76.80%	82.31%	<b>7.17%</b>	4.81%	6.32%	1.51%	<b>31.39%</b>	3.60	12.19	8.59	<b>238.61%</b>
2a	Manufacturing	•	•	1/23/2013	84.23%	90.40%	<b>7.33%</b>	7.36%	7.67%	0.31%	<b>4.21%</b>	3.20	6.12	2.92	<b>91.25%</b>
2b	Manufacturing	•	•	1/23/2013	64.61%	85.31%	<b>32.04%</b>	3.42%	6.25%	2.83%	<b>82.75%</b>	4.20	7.56	3.36	<b>80.00%</b>
3	Manufacturing	•	•	11/14/2012	56.00%	83.56%	<b>49.21%</b>	2.88%	5.25%	2.37%	<b>82.29%</b>	4.49	10.18	5.69	<b>126.73%</b>
4	Manufacturing	•	•	1/25/2013	30.17%	79.03%	<b>161.95%</b>	52.94%	67.00%	3.19%	<b>26.56%</b>	3.53	13.70	2.88	<b>288.10%</b>
5	Manufacturing	•		4/19/2013	79.60%	75.30%	<b>-5.40%</b>	5.40%	8.04%	2.64%	<b>48.89%</b>	2.10	10.06	7.96	<b>379.05%</b>
6	Health Care	•	•	1/1/2013	77.70%	90.20%	<b>16.09%</b>	3.20%	4.56%	1.36%	<b>42.50%</b>	2.20	9.79	7.59	<b>345.00%</b>
							<b>45.63%</b>				<b>2.03%</b>		<b>9.94</b>	<b>5.57</b>	<b>194.95%</b>

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1	Trucking	•		7/2/2012	69.00%	81.00%	17.39%	4.51%	4.08%	-0.43%	-9.53%	3.05	12.01	8.96	293.77%	
2	Trucking	•		3/15/2012	73.39%	71.00%	-3.26%	2.45%	3.45%	1.00%	40.82%	1.48	9.99	8.51	575.00%	
3	Industrial	•		5/24/2012	94.59%	95.37%	0.82%	5.51%	4.82%	-0.69%	-12.52%	4	7	3.00	75.00%	
7	Health Care	•		12/12/2012	80.00%	81.25%	1.56%	10.60%	8.52%	-2.08%	-19.62%	1	12.62	11.62	1162.00%	
9	Manufacturing	•		12/3/2012	83.00%	90.87%	9.48%	5.34%	6.37%	1.03%	19.29%	4.3	6.4	2.10	48.84%	
10	Health Care	•		9/7/2012	69.00%	76.81%	11.32%	8.10%	11.78%	3.68%	45.43%	3.1	11.42	8.32	268.39%	
11a	Engineering	•		2/15/2013	65.00%	67.00%	3.08%	6.51%	6.51%	0.00%	0.00%	3.8	12.28	8.48	223.16%	
11b	Engineering	•		2/15/2013	65.00%	67.00%	3.08%	3.32%	4.51%	1.19%	35.84%	4.2	12.28	8.08	192.38%	
12a	Industrial	•		2/15/2013	9.00%	83.33%		3.20%	5.58%	2.38%	74.38%	3.3	12.26	8.96	271.52%	
12b	Industrial	•		2/15/2013	9.00%	83.33%		0.06%	3.46%	3.40%		5.1	12.26	7.16	140.39%	
13	Oil / Energy	•		3/4/2013	42.90%	90.47%	110.89%	3.30%	5.50%	2.20%	66.67%	2.7	11.8	9.10	337.04%	
14	Oil / Energy	•		3/4/2013	67.40%	97.30%	44.36%	5.00%	10.57%	5.57%	111.40%	4.44	10.51	6.07	136.71%	
15	Medical	•		2/25/2013	95.65%	86.36%	-9.71%	4.50%	6.12%	1.62%	36.00%	3.7	9.95	6.25	168.92%	
19	Legal	•		5/8/2013	100.00%	80.00%	-20.00%	8.63%	10.63%	2.00%	23.17%	2.6	9.54	6.94	266.92%	
4	Manufacturing	•	•	1/11/2013	76.80%	82.31%	7.17%	4.81%	6.32%	1.51%	31.39%	3.6	12.19	8.59	238.61%	
5a	Manufacturing	•	•	1/23/2013	84.23%	90.40%	7.33%	7.36%	7.67%	0.31%	4.21%	3.2	6.12	2.92	91.25%	
5b	Manufacturing	•	•	1/23/2013	64.61%	85.31%	32.04%	3.42%	6.25%	2.83%	82.75%	4.2	7.56	3.36	80.00%	
6	Manufacturing	•	•	11/14/2012	56.00%	83.56%	49.21%	2.88%	5.25%	2.37%	82.29%	4.49	10.18	5.69	126.73%	
8	Manufacturing	•	•	1/25/2013	30.17%	79.03%	161.95%	52.94%	67.00%	3.19%	26.56%	3.53	13.7	2.88	288.10%	
16	Manufacturing	•	•	4/19/2013	79.60%	75.30%	-5.40%	5.40%	8.04%	2.64%	48.89%	2.1	10.06	7.96	379.05%	
17	Health Care	•	•	1/1/2013	77.70%	90.20%	16.09%	3.20%	4.56%	1.36%	42.50%	2.2	9.79	7.59	345.00%	
18	Distribution	•		3/1/2013	49.00%			2.62%				2.2				
20	Insurance	•		5/23/2013	100.00%	88.23%	-11.77%					7	12	5.00	266.92%	
21	Health Care	•		4/23/2013	30.00%	64.00%	113.33%	4.54%				3.7	5.66	1.96	71.43%	
22	Technology	•		5/1/2013	77.59%	80.20%		9.55%	10.07%			5.7	12	6.30	52.97%	
23	Marketing	•		1/24/2013		86.13%			Pre-Tax: 4.88% ROTH: 4.04%				8.72	8.72	110.53%	
24	Accounting	•		6/13/2013	75.00%	68.10%		5.00%								
<b>DATA FOR ALL PLANS</b>							<b>25.66%</b>				<b>1.67%</b>	<b>36.50%</b>			<b>6.58</b>	<b>247.65%</b>
<b>PLANS WHICH COMPLETED RISK ASSESSMENTS AND GAP ANALYSIS MEETINGS</b>							<b>45.63%</b>				<b>2.03%</b>	<b>45.51%</b>			<b>5.17</b>	<b>194.95%</b>

Data Legend:

- Indicate plans with completed data who have completed risk assessments but not Gap Analysis
- Indicate plans with completed data who have completed risk assessments and Gap Analysis
- Indicate plans whose transfer may or may not have been completed with incomplete data

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# DATA FOR PLANS BEFORE QPA'S IMPLEMENTATION OF PHS

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1	Trucking	•		7/2/12	69.00%	4.51%	3.05
2	Trucking	•		3/15/12	73.39%	2.45%	1.48
3	Industrial	•		5/24/12	94.59%	5.51%	4.00
4	Manufacturing	•	•	1/11/13	76.80%	4.81%	3.60
5a	Manufacturing	•	•	1/23/13	84.23%	7.36%	3.20
5b	Manufacturing	•	•	1/23/13	64.61%	3.42%	4.20
6	Manufacturing	•	•	11/14/12	56.00%	2.88%	4.49
7	Health Care	•		12/12/12	80.00%	10.60%	1.00
8	Manufacturing	•	•	1/25/13	30.17%	3.10%	3.53
9	Manufacturing	•		12/3/12	83.00%	5.34%	4.30
10	Health Care	•		9/7/12	69.00%	8.10%	3.10
11a	Engineering	•		2/15/13	65.00%	6.51%	3.80
11b	Engineering	•		2/15/13	65.00%	3.32%	4.20
12a	Industrial	•		2/15/13	9.00%	3.20%	3.30
12b	Industrial	•		2/15/13	9.00%	0.06%	5.10
13	Oil /Energy	•		3/4/13	42.90%	3.30%	2.70
14	Oil / Energy	•		3/4/13	67.40%	5.00%	4.44
15	Medical	•		2/25/13	95.65%	4.50%	3.70
16	Manufacturing	•		4/19/13	79.60%	5.40%	2.10
17	Health Care	•	•	1/1/13	77.70%	3.20%	2.20
18	Distribution	•		3/1/13	49.00%	2.62%	2.20
19	Legal	•		5/8/13	100.00%	8.63%	2.60
20	Insurance	•		5/23/13	100.00%		7.00
21	Health Care	•		4/23/13	30.00%	4.54%	3.70
22	Technology	•		5/1/13	77.59%	9.55%	5.70
23	Marketing	•		1/24/13			
24	Accounting	•		6/13/13	75.00%	5.00%	
					<b>66.29%</b>	<b>4.95%</b>	<b>3.55</b>

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1	Trucking	•	7/2/12	69.00%	81.00%	<b>17.39%</b>	4.51%	4.08%	-0.43%	<b>-9.53%</b>	3.05	12.01	8.96	<b>293.77%</b>
2	Trucking	•	3/15/12	73.39%	71.00%	<b>-3.26%</b>	2.45%	3.45%	1.00%	<b>40.82%</b>	1.48	9.99	8.51	<b>575.00%</b>
3	Industrial	•	5/24/12	94.59%	95.37%	<b>0.82%</b>	5.51%	4.82%	-0.69%	<b>-12.52%</b>	4	7	3.00	<b>75.00%</b>
4	Health Care	•	12/12/12	80.00%	81%	<b>1.56%</b>	10.60%	8.52%	-2.08%	<b>-19.62%</b>	1	12.62	11.62	
5	Manufacturing	•	12/3/12	83.00%	90.87%	<b>9.48%</b>	5.34%	6.37%	1.03%	<b>19.29%</b>	4.3	6.4	2.10	<b>48.84%</b>
6	Health Care	•	9/7/12	69.00%	76.81%	<b>11.32%</b>	8.10%	11.78%	3.68%	<b>45.43%</b>	3.1	11.42	8.32	<b>268.39%</b>
7a	Engineering	•	2/15/13	65.00%	67.00%	<b>3.08%</b>	6.51%	6.51%	0.00%	<b>0.00%</b>	3.8	12.28	8.48	<b>223.16%</b>
7b	Engineering	•	2/15/13	65.00%	67.00%	<b>3.08%</b>	3.32%	4.51%	1.19%	<b>35.84%</b>	4.2	12.28	8.08	<b>192.38%</b>
8a	Industrial	•	2/15/13	9.00%	83.33%		3.20%	5.58%	2.38%	<b>74.38%</b>	3.3	12.26	8.96	<b>271.52%</b>
8b	Industrial	•	2/15/13	9.00%	83.33%		0.06%	3.46%	3.40%		5.1	12.26	7.16	<b>140.39%</b>
9	Oil /Energy	•	3/4/13	42.90%	90.47%	<b>110.89%</b>	3.30%	5.50%	2.20%	<b>66.67%</b>	2.7	11.8	9.10	<b>337.04%</b>
10	Oil / Energy	•	3/4/13	67.40%	97.30%	<b>44.36%</b>	5.00%	10.57%	5.57%	<b>111.40%</b>	4.44	10.51	6.07	<b>136.71%</b>
11	Medical	•	2/25/13	95.65%	86.36%	<b>-9.71%</b>	4.50%	6.12%	1.62%	<b>36.00%</b>	3.7	9.95	6.25	<b>168.92%</b>
12	Distribution	•	3/1/13	49.00%			2.62%				2.2			
13	Legal	•	5/8/13	100.00%	80.00%	<b>-20.00%</b>	8.63%	10.63%	2.00%	<b>23.17%</b>	2.6	9.54	6.94	<b>266.92%</b>
14	Insurance	•	5/23/13	100.00%	88.23%	<b>-11.77%</b>					7	12	5.00	<b>71.43%</b>
15	Health Care	•	4/23/13	30.00%	64.00%	<b>113.33%</b>	4.54%				3.7	5.66	1.96	<b>52.97%</b>
16	Technology	•	5/1/13	77.59%	80.20%		9.55%	10.07%			5.7	12	6.30	<b>110.53%</b>
17	Marketing	•	1/24/13		86.13%			Pre-Tax: 4.88% ROTH: 4.04%				8.72	8.72	
18	Accounting	•	6/13/13	75.00%	68.10%		5.00%							
						<b>19.33%</b>				<b>31.64%</b>		<b>10.48</b>		<b>202.06%</b>

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